

3. CATCH-UP CONTRIBUTIONS REMITTANCE FOR INDIVIDUALS AGE 50 AND OVER (USE ADDITIONAL PAGES IF NECESSARY.)

YOU MAY ONLY USE THIS FORM TO MAKE CATCH-UP CONTRIBUTIONS IF THE PARTICIPANT HAS EXCEEDED THE 401(K) ELECTIVE SALARY DEFERRAL LIMIT.

Please use this page of the Contribution and Loan Repayment Remittance Form (Form 2) in order to separately remit catch-up contributions for participants in your plan. All sections must be completed. Use additional pages if necessary.

Under the Economic Growth Tax Relief and Reconciliation Act of 2001, a participant in a profit sharing plan with 401(k) provision who is age 50 by the end of the plan year for which the catch-up contribution is being made can make a "catch-up" contribution in 401(k) elective salary deferrals.

Note: The catch-up limit applies to the **combined** amount of 401(k) and Roth 401(k) contributions

Catch-up contributions will not be subject to any other contribution limits and will not be subject to any nondiscrimination rules. The employer must allow all eligible individuals to participate in the catch-up provision in the same manner.

Program Plan Number: _____ Employer Tax ID Number: _____ – _____ IRS Plan Number: _____

Employer's Name: _____ Employer's Business Phone Number: (_____) _____ – _____

401(k) Salary Deferrals (which may include catch-up contributions) are for payroll date ____/____/____ or calendar year _____.

| PARTICIPANT NAME | SOCIAL SECURITY NUMBER | CATCH-UP AMOUNT FROM A / B [401(k) DEFERRAL or SIMPLE 401(k) PLANS ONLY] | CATCH-UP AMOUNT FROM N [ROTH 401(k) DEFERRAL] |
|---------------------|---------------------------|---|---|
| _____ | _____ | \$ _____ | \$ _____ |
| _____ | _____ | \$ _____ | \$ _____ |
| _____ | _____ | \$ _____ | \$ _____ |

Catch-Up Contribution Subtotal \$ _____

(Transfer this total to the Remittance Totals, Section 5.)

5. REMITTANCE TOTALS

A Contribution Subtotal: \$ _____
B Catch-Up Contribution Subtotal: \$ _____
C Loan Repayment Subtotal: \$ _____
D GRAND TOTAL: \$ _____
(A + B + C = D)

6. DEPOSIT INFORMATION

Please make all checks payable to "ABA Retirement Funds Program" from the employer's business account. Personal checks should not be submitted except for loan payments.
[Applicable Department of Labor Regulations mandate that such contributions be made as of the earliest date on which such contributions can be reasonably segregated from the employer's general assets.]

The following checks are attached to this Contribution and Loan Repayment Remittance Form (Form 2):

Check # : _____ Check Amount: \$ _____

Check # : _____ Check Amount: \$ _____

Check # : _____ Check Amount: \$ _____

Check # : _____ Check Amount: \$ _____

A Sum of checks from above: \$ _____

B Amount, if any, to be withdrawn from Forfeiture Account (For Employer Contributions Only): \$ _____

C Amount transmitted by wire: \$ _____

D GRAND DEPOSIT TOTAL: \$ _____
(A + B + C = D)

PLEASE VERIFY:

- Correct Plan Year and/or Calendar Year indicated.
- Correct Contribution Type is indicated.
- Correct Loan Numbers were given.
- Six-digit Program Plan Number provided.
- Correct Scheduled Loan Payment amount is provided.

PLEASE REMEMBER TO:

- Sign your check
- Date your check
- Make your check payable to "ABA Retirement Funds Program"
- Ensure written and numeric amounts agree.

This GRAND DEPOSIT TOTAL must equal GRAND TOTAL from section V above.

7. SIGNATURE

I understand that failure to provide the Program with a properly completed form and related remittances may result in a processing delay.

SIGNATURE OF AUTHORIZED PLAN REPRESENTATIVE ON BEHALF OF THE EMPLOYER

DATE